SUMMARY OF COURSE EVALUATION										
COURSE TITLE:	CMFAS MOD 1B: RULES & REGULATIONS FOR DEALING IN SECURITIES (TUTORIAL)									
COURSE DATES:	27/05/2006 - 27/05/2006									
COURSE TRAINER:	Chong Khin Loke									
NO OF PARTICIPANTS:	9									
NO OF RESPONDENTS:	7									
FACTORS	1	2	3	4	5					
	POOR	BELOW AVERAGE	AVERAGE	GOOD	EXCELLENT	MEAN				
SECTION 1 : Course Rating										
1: Organisation of the content	0	0	0	4	3	4.43				
2: Usefulness of the content	0	0	0	4	3	4.43				
3: Allocation of time to individual topic	0	0	1	5	1	4				

4: Effectiveness of case studies/role-plays (if applicable)	0	0	0	3	4	4.57				
5: Helpfulness of the handouts	0	0	0	3	4	4.57				
SECTION 2 : Course Leader Rating										
6: Subject Knowledge	0	0	0	1	6	4.86				
7: Presentation Skills	0	0	0	3	4	4.57				
8: Ability to encourage participation	0	0	0	5	2	4.29				
9: Ability to sustain participants' interest	0	0	0	1	6	4.86				
SECTION 3 : Overall Evaluation										
10: Overall Rating for the Course	0	0	0	3	4	4.57				

## **SECTION 4: COMMENTS** 11. List the knowledge and skills that you found most useful. 11.1 the trainer was very well versed and knew the topic very well. 11.2 The Securities and Futures Act. 11.3 Settlement procedures, SGX and MAS rules and regulations 12. Please let us have your comments or suggestions pertaining to the course contents, speaker(s), facilities, etc. 12.1 Trainer was gd . I spent less time studying the topics as he simplified the topics , making it very easy to understand without compromising on quality. 12.2 Khin Loke was excellent. He replied my email request within 1hr on a Mon morning and his lecture was extremely thorough and rewarding. 12.3 Speaker was good and effective in explaining key concepts clearly and simply. Shows his experience and knowledge 13. Briefly explain how you would apply the new knowledge and skills gained to your job. 13.1 very detailed explanation. came out of the course with gd knowledge 13.2 have the basic understanding on how's the financial market works and all the relevant laws to abide when comes to securities dealing. 13.3 It is obviously prudent to know more about our regulatory bodies MAS and SGX-ST, and of course to reiterate Singapore's stringent Anti-Money Laundering schemes. 13.4 Am doing a lot of "broking" work ie putting trades for clients, hence the course is helpful in providing a good framework to understand the whole

stock broking process and regulations in SGX